

282103/2019/HORT. SCI.-I

E-279801/PIM/19  
31/1/2019

INDIAN COUNCIL OF AGRICULTURAL RESEARCH  
KRISHI BHAWAN, NEW DELHI

F.No. 5(4)/2017-PIM

Dy No. 128 Hort II

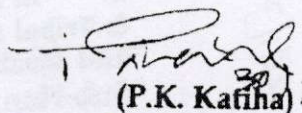
Date 7/2

Dated: 30-01-2019

**Subject:** Implementation of the Scheduled Castes Sub-Plan (SCSP) and Tribal Sub-Plan (TSP) - regarding.

A copy of the NITI Aayog, O.M. No. M-11011/18/2018-SJE dated 25-01-2019 on the subject mentioned above is enclosed for compliance, implementation and to take appropriate action as mandated in the guidelines mentioned.

Encl: As above

  
(P.K. Katiha)  
Assistant Director General (PIM)- Actg.

**Distribution:**

All DDGs

All Nodal Officers (TSP)

Director (Finance)

~~Director (HS)~~  
~~ADG/H-I~~

Dy. No. 350 ADG (HS-I)  
Dated 7/2/19

whr  
S.O (H.S.II)  
8/2/19



**OFFICE MEMORANDUM**

The development of Scheduled Castes and Scheduled Tribes is Constitutional Mandate and also National Priority of the Government. Allocation of Business Rules (AoBR) of NITI Aayog empower NITI Aayog to "To pay special attention to the sections of our society that may be at risk of not benefiting adequately from economic progress" and has to actively monitor and evaluate the implementation of programmes and initiatives, including the identification of the needed resources so as to strengthen the probability of success and scope of delivery" (Rule III 1 (i) c & k of AoBR of NITI Aayog).

2. In respect of implementation of the Scheduled Castes Sub-Plan (SCSP) & Tribal Sub-Plan (TSP), NITI Aayog has the mandate to design a framework and mechanism for monitoring of the Scheduled Castes Sub-Plan and Tribal Sub-Plan; and to evaluate the Scheduled Castes Sub-Plan and Tribal Sub-Plan" (Rule III 1 (i) n (A) & (B)).

3. As envisaged above, NITI Aayog has developed new frame work/new guidelines (Copy attached at Annexure-I) obligating 41 Central Ministries / Departments for allocation of resources, formulation and implementation of specific schemes to reduce / remove development disparities between the population in general and SCs and STs. However, while reviewing the performance of some of the obligatory Ministries/Departments, it was noticed that some Ministries have deviated the guidelines. In this regard a meeting was held on 16-11-2018 in NITI Aayog, with the Department of Economic Affairs and Department of Expenditure and modalities / measures to be taken by the obligated Ministries / Departments was communicated (Copy attached at Annexure-II)

4. Meanwhile the Department of Economic Affairs vide office memorandum No. 2(33)-B(P&A)/2018 dated 22 January, 2019 (Copy Attached at Annexure-III) has directed such deviating ministries/ departments to strictly adhere to the guidelines of NITI Aayog.

5. All obligated Ministries / Departments are requested to take appropriate action as mandated in the guidelines and actions suggested in Annexure-II of this OM to fulfill the constitutional mandate and ensure empowerment of Scheduled Castes and Scheduled Tribes at par with the rest of the society.

(Dr. Muniraj, S.B)  
Deputy Adviser  
Ph. 23042343

To

Asper List

secy (D) - U n U n Y

ADG (PIM)



1.	The Secretary, Department of Agriculture, Cooperation and Farmer Welfare Krishi Bhawan, Dr. Rajendra Prasad Road, New Delhi-110001.	2.	The Secretary (ADF), D/o Animal Husbandry, Dairying & Fisheries, Krishi Bhawan, New Delhi-110001
3.	The Secretary & D.G. (ICAR) Department of Agriculture Research and Education Krishi Bhawan, Dr. Rajendra Prasad Road, New Delhi-110001.	4.	The Secretary Ministry of AYUSH Ayush Bhawan, B Block, GPO Complex, INA, New Delhi - 110023
5.	The Secretary, Department of Commerce, Ministry of Commerce and Industry, Udyog Bhawan, New Delhi 110011.	6.	The Secretary Ministry of Coal Shastri Bhavan New Delhi -110001.
7.	The Secretary Department of Consumer Affairs Krishi Bhavan New Delhi-110001.	8.	The Secretary Ministry of Culture Shastri Bhavan New Delhi-110001
9.	The Secretary, Department of Development of North Eastern Region Vigyan Bhawan Annex New Delhi-110011	10.	The Secretary M/o Drinking Water and Sanitation Government of India, C Wing, 4th Floor, Pandit Deendayal Antyodaya Bhawan, CGO Complex Lodhi Road, New Delhi - 110003
11.	The Secretary Ministry of Electronics & Information Technology Electronics Niketan C.G.O. Complex New Delhi -110003.	12.	The Secretary Ministry of Environment, Forests and Climate Change, Paryavaran Bhavan C.G.O. Complex, Lodhi Road, New Delhi -110003.
13.	The Secretary Department of Empowerment of Persons with Disabilities Deendayal Antyodaya Bhavan CGO Complex Lodhi Road, New Delhi-110003	14.	The Secretary Department of Food and Public Distribution Krishi Bhavan New Delhi -110001
15.	The Secretary Ministry of Food Processing Industries Panchasheel Bhavan Khel Gaon New Delhi	16.	The Secretary Department of Fertilizers Shastri Bhavan New Delhi -01.



Urgent

F. No. M-11011/8/2017-SJE  
Government of India  
NITI Aayog  
(Social Justice and Empowerment Division)

Parliament Street, New Delhi.  
Date: 20.11.2017

**OFFICE MEMORANDUM**

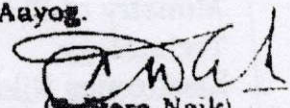
**Subject: Guidelines on New Arrangement for Earmarking of Funds for Scheduled Caste Sub-Plan (SCSP) and Tribal Sub-Plan (TSP).**

Ministry of Finance, Department of Economic Affairs vide their D.O. letter No. 2 (21)-B(Cdn) / 2016, dated 31.12.2016 had earlier requested NITI Aayog to review Ministry / Department wise existing arrangement of SC/ST allocations and update it keeping in view the changes, since the last earmarking was done by the Planning Commission.

2. The issues were considered in NITI Aayog in consultation with various stakeholders i.e. concerned Central Ministries/Departments, State Governments, National Commissions for SCs and STs and Samavesh Forum of NITI Aayog, in addition to internal examination and review of the issues in NITI Aayog. On the basis of suggestions that emerged from the consultations and internal review the guidelines new arrangements for earmarking of funds and implementation of schemes for SCs and STs have been prepared.

3. The Guidelines are enclosed for consideration by the Ministry of Finance for the upcoming Union Budget 2018-19.

This issues with the approval of Vice Chairman, NITI Aayog.



(Srihara Naik)

Advisor (SJE)

Ph. 23096595

Email: srikara@gov.in

To

The Secretary  
Department of Economic Affairs  
M/o Finance  
North Block, New Delhi, 110001

Copy for information to:

The Secretary  
Department of Expenditure,  
Ministry of Finance,  
North Block, New Delhi



**NITI Aayog**  
**(Social Justice & Empowerment Division)**

....  
**Guidelines for earmarking of funds for Development Action Plan for SCs  
and STs (DAPSC & DAPST)**

The earlier system of planning has been discontinued and there has been merger of plan and non-plan expenditure with effect from 2017-18. In the changed system of budgeting, there is a need for clarity regarding new arrangement for earmarking of funds for SCs and STs in their budgets by the concerned Central Ministries/Departments.

2. Earlier earmarking of funds for SCs and STs were done by the concerned Ministries/Departments against their Plan allocation under the broad strategies of Scheduled Castes Sub-Plan (SCSP) and Tribal Sub-Plan (TSP) as per the criteria recommended by the Task Force, 2010. Earmarked allocation was also shown Ministry/Department-wise against the respective Centrally Sponsored Schemes (CSSs) and Central Sector Schemes (CSSs) in the Union Budget document. The Task Force constituted by the erstwhile Planning Commission had recommended for differential earmarking i.e. earmarking at different rates the Ministries/Departments and only against their plan outlay. Non-Plan component of the outlays were kept out of purview of the Sub-Plans. The Task Force had identified 26 Ministries/Departments for SCSP and 32 for TSP as obligated to earmark funds and implement schemes for SCs and STs.

3. In view of new system of budgeting, NITI Aayog was entrusted with the task of looking into the issue of alternative arrangements for earmarking of funds for SCs and STs. The matter was considered by the Senior Management Committee (SMC) of NITI Aayog in its meeting on 24.04.2017. The SMC desired, Shri Ratan P. Watal, Principal Adviser, Social Sector, NITI Aayog to guide SMC on the matter. The recommendations stated in these guidelines are outcome of consultation process (2017) under the Chairmanship of Principal Adviser, and would guide the earmarking of funds by the Ministries/Departments for DAPSC and DAPST, while going beyond and building on the recommendations of the earlier Task Force 2010, signifying continuity with enrichment. Some other issues considered regarding earmarking of funds, were as follows:

- (i) Whether strategy for implementation of the schemes for welfare of SCs and STs shall continue as per existing guidelines?
- (ii) Decision regarding 'No-Obligation Ministries/Departments'.
- (iii) Need or otherwise of a national level institution to work as think-tank for SCs and STs.



- (iv) Need or otherwise of a Central Legislation to ensure non-divertibility and/or non-lapsability of allocation and to guide planning & implementation.
- (v) How to ensure mainstreaming most vulnerable community groups in major flagship programmes, as reflected in development outcomes- in addition to earmarked allocations and specific schemes?
- (vi) How to enhance /create institutional capacity at national/state/district and local levels for ensuring a voice and social inclusion of the most vulnerable community groups?
- (vii) How to create a framework for Social Sector Outcome Monitoring, that addresses multiple vulnerability and is responsive to the most vulnerable?

4. The issues were considered in NITI Aayog in consultation with various stakeholders i.e. concerned Central Ministries/Departments, State Governments, National Commissions for SCs and STs and Samavesh Forum of NITI Aayog, in addition to internal examination and review of the issues in NITI Aayog.

5. On the basis of suggestions that emerged from the consultations and internal review of the issues, the recommendations made regarding the new arrangements for earmarking of funds and other issues regarding implementation of schemes for SCs and STs have been detailed in the following paragraphs.

#### **Continuation and Enrichment of strategy and Guidelines for implementation**

6. So far as strategy for implementation of the sub-plans is concerned, promoting socio-economic interest of SCs and STs is a constitutional mandate. It is also an area of priority and commitment for the present government to promote the socio-economic empowerment and inclusive development of the SCs and STs. The commitment to ensure inclusive development of the most vulnerable and deprived community groups especially SCs and STs has been further reaffirmed by the call for a New India By 2022, the 75<sup>th</sup> Year of India's Independence. This calls for an India free from poverty, dirt, corruption, terrorism, casteism and communalism among other features. The need for an Antyodaya approach to reach the poorest of the poor and the most deprived has also been highlighted. The schemes and programmes, therefore will continue to be implemented in the broader contours of the earlier sub-plans. *In the new system of budgeting SCSP and TSP may be renamed as Development Action Plan for SCs (DAPSC) and Development Action Plan for STs (DAPST).*



**Earmarking against overall allocation of SCs and STs and not against total budget of the Ministries/Departments**

7. Earmarking should be against the overall allocation for schemes of the Ministries/Departments and not against the total budget of the concerned Ministries/Departments over and above the overall allocation for the schemes. However the Ministries/Departments will have flexibility to allocate funds for SCs and STs for specific activities within their overall budget, in case their existing schemes are not amenable to or do not have the scope for any such activities for SCs and STs.

**Recommendations**

8. The following are the guidelines recommended regarding identification of Ministries/Departments and earmarking of funds of DAPSC and DAPST and also to strengthen the existing guidelines in post planning stage:

**Identification of Ministries and Earmarking of Funds**

- (i) The earmarking should be done under specific schemes of the Ministry/Department. Overall earmarking of the Ministry/Department should be calculated against the total allocation of these schemes and not against the total budget of the Ministry/Department. However, some flexibility may be provided in specific instances wherever deemed necessary, and in case of non-utilisation under one scheme, the budget should be utilized under the other schemes of the department, but for DAPSC or DAPST, as the case may be, with approval of the Ministry and Financial Adviser.
- (ii) Percentage of earmarking should not be less than 50% of the population proportion or as decided by the Task Force, or actuals whichever is higher. Ministry/ Department allocating funds for SCs and STs at higher percentages shall maintain existing percentages.
- (iii) All the Ministries/Departments which fall in the category II (Identified by the Task Force) i.e. earmarking of funds in the range of 0-15% for SCSP and 0-7.5% for TSP should earmark at least minimum of 8.3% for DAPSC and 4.3% for DAPST (as per 2011 Census).
- (iv) Ministries/Departments which are earmarking funds only for SCs at present should also earmark funds for STs and vice versa except the nodal Ministries. For example, D/o Animal Husbandry and Commerce should also earmark for DAPST. D/o Agriculture Research, Coal, Telecommunication, Water Resources, etc. which are there in DAPST should earmark for DAPSC. Minimum percentage of earmarking should be as indicated in para (i) and (iii) above.



- (iv) Ministries/Departments like M/o Development of North Eastern Region, DONER, M/o Skill Development and Entrepreneurship, and D/o Empowerment of Persons with Disabilities (DPwD) have earmarked funds under STs in 2017-18 i.e. @ 27.18%, 8.14% and 10.17% respectively. These Ministries/Department have been implementing mostly beneficiary oriented schemes and therefore should be brought in the 'obligatory' category.
- (vi) Ministries/Departments which have direct or indirect bearing on the welfare of SCs and STs in the country, but remain outside the purview of DAFSC & DAPST i.e. a) Department of Fertilizers, (b) Department of Pharmaceuticals, (c) Ministry of Food processing industries, (d) Department of Consumer Affairs, (e) Ministry of Urban Development and (f) Ministry of Petroleum and Natural Gas. These Ministries/Departments should earmark funds to the extent of at least 50% of population proportion of SCs and STs.
- (vii) Ministries/Departments which are earmarking funds in proportion to the population of SCs or STs as per 2001 Census or closer to that should earmark fund in proportion to population of SCs or STs as per 2011 Census.
- (viii) So far as Nodal Ministry of Social Justice and Empowerment (SJE) is concerned, the present level of earmarking of 72.5% of funds by the D/o SJE for SCs as recommended by the Task Force should continue. However, over and above this, Scheduled Caste communities should also benefit from schemes implemented for other vulnerable sections like senior citizens, transgender, destitutes, drug addicts, etc. The issues of multiple vulnerability should also be addressed appropriately.
- (ix) State-wise distribution of allocation under various Centrally Sponsored Schemes by Central Ministries/ Departments, especially under beneficiary oriented schemes should be done in proportion to population for SCs and STs in the respective States/UTs.
- (x) Some Ministries/Departments like D/o Fertilizers, D/o Telecommunications, M/o Coal, M/o Mines, M/o Culture, etc. which do not have any scheme for SCs and/or STs, should set aside the earmarked allocation, evolve new and focused interventions and use the same for appropriate schemes/activities in consultation with the Nodal Ministry of Social Justice and Empowerment and Ministry of Tribal Affairs. The Nodal Ministries should coordinate as to how to use such allocations for direct benefits of SCs and STs



### **Non-lapsability and non-divertibility**

- (xi) In India the system of cash-based budgeting is followed. In view of this it is not feasible to follow the practice of non-lapsability. The issue of non-divertibility is already inbuilt in the system due to allocation of funds on the basis of 'budget head'. However diversification of fund within the budget head shall be allowed subject to conditions mentioned in para (ii) above. Any further clarification, in this regard may be looked into by the Department of Expenditure.

### **National Level Institute for SCs and STs**

- (xii) A National level Institute each for SCs and STs, on the pattern of National Institute for Rural Development should be set up to work as Think Tank and Resource Centre each for SCs and STs. For SCs, the Ambedkar International Centre or its infrastructure may be considered to be utilized as the National Institute. For STs, National Institute of Labour Economics Research and Development (NILERD) could be converted or infrastructure of NILERD could be utilized for the same.
- (xiii) Linked to the National Institutes, States should also be encouraged to have/set up such Institutes - at the State level and progressively at District levels. The district level institutes may specifically work for providing specific inputs for formulation of suitable projects/schemes at the ground level in the interest of SCs and STs. This is especially relevant in the context of enhanced devolution of resources to States, urban local bodies and panchayati raj institutions, as per the implementation of the Fourteenth Finance Commission recommendations.

### **Enabling Provisions**

- (xiv) Ministries concerned and States/UTs must ensure mainstreaming of the most vulnerable community groups in major flagship programmes under the National Development Agenda, as reflected in development outcomes- in addition to earmarked allocations and specific schemes.
- (xv) Ministries concerned and States/UTs may enable and promote institutional capacity at different levels to ensure improved utilization, effectiveness, efficiency and outcomes of allocations earmarked for SC/STs. This includes enabling representation in institutional mechanisms etc.



- (xvi) The performance of States and Districts on Social Inclusion may progressively be recognized and incentivized.
- (xvii) Ministries concerned and States/UTs must address multiple vulnerability through convergent interventions that may be multi sectoral in nature, specific to the State/district context.
- (xviii) Ministries concerned and States/UTs may be encouraged to design new interventions based on programme implementation experience, with innovative ideas for Ministries which were earlier considered to be non obligatory.

#### **Monitoring and Evaluation**

- (xix) Monitoring of the DAPSC and DAPST should be necessarily a priority area. Monitoring should be both output and outcome based and through dashboard. The nodal Ministries i.e. Ministry of Social Justice and Empowerment and Ministry of Tribal Affairs will be responsible for the monitoring as per amendment to Allocation of Business Rule 1961 vide Gazette Notification a P. No. 1/21/26/2016-Cab. dated 31<sup>st</sup> January, 2017.
  - (xx) The monitoring initiative should have special focus on people with multiple vulnerability such as SC/ST widows or women or men with disabilities; Particularly Vulnerable Tribal Groups (PVTGs); destitute SC/ST children etc.
  - (xxi) The social sector monitoring framework adopted at the central level under DAPSC and DAPST should also be carried forward to be implemented at the State and District levels for outcome monitoring of the initiatives for SCs and STs.
  - (xxii) The social sector monitoring framework should include integration of concerns related to inclusion of SC/STs in the existing Common Review Missions of major flagship programmes by concerned Ministries and National Commissions as a complementary approach for field based outcome monitoring. (Ministries such as MHFW, MHRD, MWCD & MRD are using Common Review Missions for National Health Mission, Elementary Education, WCD/ICDS & Rural Development Programmes.)
9. Details of criteria proposed for earmarking of funds for DAPSC and DAPST by respective Ministries/Departments may be seen at Annexure-I.

#### **11. NITI Aayog's Role**

- (i) While the Nodal Ministries should also be responsible for coordination with the concerned Ministries and Departments to ensure adequate fund for SCs and STs. NITI Aayog should also, in consultation with the nodal Ministries, ensure earmarking of the funds by the identified Ministries/Departments and periodically review their performance



- (ii) NITI Aayog should be responsible for evaluation of DAPSC and DAPST. The nodal Ministries, M/o SJE and MoTA and the concerned Central Ministries/Departments should also undertake evaluation of their schemes as per the overall strategies of DAPSC and DAPST.

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**Annexure-I****Category A: Obligatory Ministries/Departments**

Ministry / Department wise existing earmarking and proposed percentage of earmarking

**DAPSC (2011 Census)**

S.No.	Ministries/Departments	Budgeting percentage of earmarking	Actual earmarking % in 2017-18	Proposed % for earmarking to DAPSC*
1	Agriculture & Cooperation	16.20	16.13	16.60
2	Animal Husbandry, Dairying and Fisheries	16.20	16.18	16.60
3	AYUSH	5.00	14.02	8.30
4	Commerce	4.50	1.07	8.30
5	Development of North East Region;	2.00	2.01	8.30
6	Drinking Water Supply	22.00	22.00	22.00
7	Information Technology	2.00	1.39	8.30
8	Environment & Forest	2.20	2.01	8.30
9	Health and Family Welfare	15.20	15.90	16.60
10	Housing and Urban Poverty Alleviation	22.50	22.42	22.50
11	School Education & Literacy	20.00	21.74	20.00
12	Higher Education	15.00	53.44	16.60
13	Labour and Employment	16.20	16.45	16.60
14	Micro, Small and Medium Enterprises	12.00	11.39	16.60
15	New and Renewable Energy	3.50	3.48	8.30
16	Panchayati Raj	16.20	15.78	16.60
17	Ministry of Power	8.30	9.29	16.60
18	Rural Development	25.00	8.07	25.00
19	Land Resources	16.20	15.44	16.60
20	Science & Technology	2.50	4.35	8.30
21	Department of Social Justice and Empowerment	72.50	79.27	72.50
22	Textiles,	5.00	2.80	16.60
23	Woman and Child Development	20.00	16.91	20.00
24	Youth Affairs and Sports	16.20	25.28	16.60



**DAPST (2011 Census)**

S.No.	Ministries/Departments	Existing % of earmarking	Actual earmarking % in 2017-18	Proposed % for earmarking to DAPST
1	Agriculture & Cooperation	8.00	7.97	8.60
2	Department of Agriculture Research and Education	3.60	3.46	4.30
3	AYUSH	2.00	4.03	4.30
4	Ministry of Coal	8.20	4.49	8.60
5	Department of Telecommunication	0.25	0.26	4.30
6	Department of food and Public Distribution	1.40	0.00	4.30
7	Ministry of Culture	2.00	4.80	4.30
8	Ministry of Drinking Water and Sanitation	10.00	10.00	10.00
9	Ministry of Electronics and Information Technology	6.70	4.67	6.70
10	Health and Family Welfare	8.20	8.58	8.60
11	Housing and Urban Poverty Alleviation	2.40	2.89	4.30
12	School Education & Literacy	10.70	12.49	10.70
13	Higher Education	7.50	26.73	8.60
14	Labour and Employment	8.20	8.33	8.60
15	Micro, Small and Medium Enterprises	8.20	7.73	8.60
16	Ministry of Mines	4.00	0.00	4.30
17	Panchayati Raj	8.20	8.09	8.60
18	Ministry of road Transport and Highways	3.50	0.62	4.30
19	Rural Development	17.50	5.63	17.50
20	Land Resources	10.00	9.78	10.00
21	Science & Technology	2.50	4.35	4.30
22	Textiles,	1.20	1.04	8.60
23	Ministry of Tourism	2.50	2.54	4.30
24	Ministry of tribal Affairs	100.00	139.47	100.00



**Category C: Ministries/Departments which are earmarking for either DAPSC or DAPST**

**Ministries/Departments which are earmarking for DAPST should also earmark for DAPSC**

S.No.	Ministries/Departments	Existing % of earmarking for DAPST	Proposed % for earmarking to DAPSC*
1	Department of Agriculture Research and Education	3.46	8.30
2	Ministry of Coal	4.49	8.30
3	Department of Telecommunication	0.26	8.30
4	Department of food and Public Distribution	0.00	8.30
5	Ministry of Culture	4.30	8.30
6	Ministry of Mines	0.00	8.30
7	Ministry of road Transport and Highways	0.62	8.30
8	Ministry of Tourism	2.54	8.30
9	Ministry of WR, RD and GR	0.83	8.30

\*Atleast 50% of population proportion (8.30%)

**Ministries/Departments which are earmarking for DAPSC should also earmark for DAPST**

S.No.	Ministries/Departments	Existing % of earmarking for DAPSC	Proposed % of earmarking for DAPST
1	Animal Husbandry, Dairying and Fisheries	16.18	8.60#
2	Commerce	1.07	4.30
3	Ministry of Power	9.29	8.60#

#These Ministries implement direct beneficiary oriented programme, they are obligated in proportion to population (ST-8.60%)



Annexure II

M-11011/15/2018-SJE

Government of India

NITI Aayog

(Social Justice & Empowerment Division)

Room No.345, NITI Aayog Bhavan

Parliament Street, New Delhi


Date: 14-1-2019

**OFFICE MEMORANDUM**

**Subject: Record of Discussion held on 16<sup>th</sup> November, 2018 for implementation of Scheduled Castes Sub-Plan (SCSP) and Tribal Sub-Plan (TSP) with the Secretary, Department of Expenditure and Joint Secretary (Budget), Department of Economic Affairs, Ministry of Finance.**

The undersigned is directed to invite your kind attention on the subject mentioned above and enclose herewith a copy of the Record of Discussion (RoD) held on 16<sup>th</sup> November, 2018 with the Secretary, Department of Expenditure and Joint Secretary (Budget), Department of Economic Affairs, Ministry of Finance in NITI Aayog regarding implementation of Scheduled Castes Sub-Plan (SCSP) and Tribal Sub-Plan (TSP).

2. It is requested to take appropriate action and arrange to furnish Action Taken Report (ATR) at the earliest.
3. This issues with the approval of the competent authority.

  
(Dr. Muniraju, S.B)  
Deputy Adviser

Ph:011-23042343, Email: [mraju.sb@gov.in](mailto:mraju.sb@gov.in)

To

As-per list



**NITI Aayog**  
**(Social Justice & Empowerment Division)**

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A meeting was held on 16<sup>th</sup> November, 2018 with the Secretary, Department of Expenditure Shri Ajay Narayan Jha, and Shri Aravind Srivastava, Joint Secretary (Budget), Department of Economic Affairs, Ministry of Finance under the Chairmanship of Shri Ratan P. Watal, Principal Adviser (Social Sector), NITI Aayog.

2. Initiating the meeting, Principal Adviser, elaborated that post-merger of plan and non-plan classification of expenditure, NITI Aayog convened a meeting with Central Ministries / Departments and some selected States. As mandated under Allocation of Business Rules, a framework (new guidelines) was developed by the NITI Aayog in consultation with Ministries/Departments and States to reaffirm priority to vulnerable community groups for allocation, formulation and implementation of schemes/ programs for welfare of Scheduled Castes and Scheduled Tribes.
3. The new guidelines lay emphasis on 41 obligated Ministries / Departments which should earmark and spend their obligated percentage of funds for welfare of SCs and STs and in-case they find it difficult under existing schemes they can formulate new schemes or innovative interventions. The new guidelines go beyond Dr. Narender Jhadhav Task Force Guidelines implemented since 2011-12.
4. Some Ministries like Ministry of Road Transport and Highways, Department of Food and Public Distribution, Ministry of Labour and Employment, Ministry of Culture etc. have expressed their perceptive and the need for developing innovative ways of translating the priority to vulnerable community groups into resources and interventions. However, they were insisted upon by the NITI Aayog to scrupulously follow the guidelines and capture data of SC&ST beneficiaries.
4. The Secretary, Expenditure and JS Budget DEA expressed that it may be useful to reiterate and/or clarify to some Ministries/Departments regarding earmarking out of the total schemes' budget or only from specific schemes budget. It was clarified that total CSS and CS schemes budget of the obligated Ministries should be taken for consideration and earmarking should be done only for such schemes which provide direct and quantifiable benefits to target groups by the line Ministries /Departments.



282103/2019/HORT. SCI.-I

(Ministry of Finance, Department of Economic Affairs)

**List of Participants**

1. Shri Ratan P. Watal, Principal Adviser, NITI Aayog
2. Shri Ajay Narayan Jha, Secretary, Department of Expenditure
3. Shri M.C. Jauhari, Joint Secretary, NITI Aayog
4. Shri Aravind Srivastava, Joint Secretary (Budget), Department of Economic Affairs,
5. Dr. Muniraju, S.B, Deputy Adviser (SJE), NITI Aayog.



5. The Joint Secretary, NITI Aayog suggested that Ministries/Departments should work out a mechanism to spend allocated funds to benefit SCs and STs and if this is not possible under existing schemes, they should formulate new schemes or new interventions. If that is also not possible then we can consider for innovative measures for better utilization of SCSP & TSP funds.

6. **Meeting concluded with the following decisions:**

- i. Ministry of Social Justice & Empowerment, Ministry of Tribal Affairs and obligated Ministries/Departments in consultation with NITI Aayog should identify specific schemes which provide benefits to SCs and STs and ensure allocation only for such schemes instead of notional allocation for all schemes (CSS&CS).

**(Action: NITI Aayog, Department of Social Justice & Empowerment & Ministry of Tribal Affairs and obligated Ministries)**

- ii. Ministry of Social Justice & Empowerment, Ministry of Tribal Affairs and obligated Ministries in consultation with NITI Aayog should come up with innovative responses or new interventions/new schemes for inclusive development of SCs and STs, in case of difficulties to allocate and or incur expenditure under existing schemes.

**(Action: NITI Aayog, Department of Social Justice & Empowerment & Ministry of Tribal Affairs and obligated Ministries/Departments)**

- iii. The requests for exemption from earmarking or incurring expenditure for SCSP&TSP by the obligated Ministries/Departments, decision may be taken by the Nodal Ministries i.e. Ministry of Social Justice & Empowerment for SCSP and Ministry of Tribal Affairs for TSP with the approval of their Ministers.

**(Action: Ministry of Social Justice & Empowerment & Ministry of Tribal Affairs and obligated Ministries/Departments)**

- iv. The criticality of reaffirming priority to vulnerable community groups needs to be reflected through continued support for and reiteration of the guidelines evolved by NITI Aayog. The allocation for SCSP & TSP in the Union Budget 2019-20 should not be less than the allocation made in the Union Budget 2018-19.

**(Ministry of Finance, Department of Economic Affairs)**

- v. Ministry of Finance may also highlight to States, the criticality of reaffirming priority to vulnerable community groups through adequate allocation of State resources for SCs, STs, effective utilization and achievement of desired outcomes.



Annexure-III

SECRET  
IMMEDIATE

F.No. 2(33)-B (P&A)/2018  
Government of India  
Ministry of Finance  
Department of Economic Affairs  
Budget Division  
\*\*\*\*

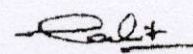
North Block, New Delhi,  
Dated: 22 January, 2019

**OFFICE MEMORANDUM****Sub: Allocation of funds in Budget (2019-20) for Welfare of SCSP & STP.**

The undersigned is directed to state that some of the Ministries/Departments have either not kept any provision under SCSP and TSP or the provision kept is below the level stipulated by NITI Aayog vide its O.M. dated 20.11.2017. List of such deviating Ministries/Department is attached.

2. NITI Aayog, vide its O.M. dated 14.1.2018, has circulated MoM dated 16.11.2018. Para 6 (iii) of the MoM ibid stipulates that regarding the request for exemption/modification for earmarking or incurring expenditure for SCSP & TSP by the obligated Ministries/Departments, decision will be taken by the Nodal Ministries, i.e. Ministry of Social Justice and Empowerment for SCSP and Ministry of Tribal Affairs for TSP. Such exemptions, if any obtained, may be intimated to this Ministry.

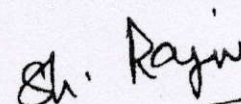
3. In case no such exemption has been granted by the Nodal Ministries, the deviating Ministries/Departments are requested to look into the matter and take corrective action immediately, not later than 24.01.2019, to avoid delay in printing of the document. This may be treated as most urgent.

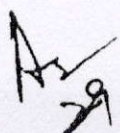
  
(Gautam Palit)

Under Secretary to the Govt. of India  
Tele: No. 23095083

**As per list attached****Copy to:**

- i. M/o Social Justice & Empowerment (Concerned Joint Secretary)
- ii. M/o Tribal Affairs (Concerned Joint Secretary)
- iii. NITI Aayog (Dr. Muniraju. S.B., Dy. Adviser)



 29/1/19.



